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DOCKET NO.: 4085-251-27

• ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

Re: Serial No.: 10/038,626

Applicant(s): Alison L. SPARKS Filing Date: January 8, 2002

For: DENDRITIC CHEMILUMINESCENT SUBSTRATES

Group Art Unit: 1645

Examiner:

SIR:

Attached hereto for filing are the following papers:

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GROUP 3600

INFORMATION DISCLOSURE STATEMENT INTERNATIONAL SEARCH REPORT FORM PTO-1449 CITED DOCUMENTS (1)

Our check in the amount of \$_0\$___ is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LI

Steven B. Kelber Attorney of Record

Registration No.: 30,073

Christopher W. Raimund Registration No.: 47,258

Docket No.: 4085-251-27

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Alison L. SPARKS

SERIAL NUMBER: 10/038,626

FILED: January 8, 2002

FOR: DENDRITIC CHEMILUMINESCENT SUBSTRATES

GROUP ART UNIT: 1645

EXAMINER:

SEP 2 7 2002 SCH CENTER 1600/290

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

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GROUP 3600

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

Applicant(s) wish(es) to make of record the documents listed on the attached International Search Report and Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

OCT 1 8 2002

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This Information Disclosure Statement is filed:

- without fee and within three months of the filing date of the application.
- □ without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- □ without fee and with the appropriate certification above.
- □ without fee and with a new CPA application.
- without fee and with a Request for Continued Examination.
- with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

Steven B. Kelber

Attorney of Record Registration No. 30,073

Christopher W. Raimund

Registration No. 47,258

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				Alison L. SPARKS			TECH C	ENTER 1	300/2 900
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)				FILING DATE			GROUP ART UNIT		
				January 8, 2002			1645		
			U	.S. PATENT DOCU	MENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME CLASS		SUB CLASS		DATE IF PRIATE	
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*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.